1 multimedia: Multimodal Mediation Analysis

2 of Microbiome Data

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13 ABSTRACT

14 Mediation analysis has emerged as a versatile tool for answering mechanistic questions in microbiome research because it provides a statistical framework for attributing 15 treatment effects to alternative causal pathways. Using a series of linked regression 16 17 models, this analysis quantifies how complementary data modalities relate to one another 18 and respond to treatments. Despite these advances, the rigid modeling assumptions of 19 existing software often results in users viewing mediation analysis as a black box, not 20 something that can be inspected, critiqued, and refined. We designed the multimedia R 21 package to make advanced mediation analysis techniques accessible to a wide audience,

22 ensuring that all statistical components are easily interpretable and adaptable to specific 23 problem contexts. The package provides a uniform interface to direct and indirect effect estimation, synthetic null hypothesis testing, and bootstrap confidence interval 24 construction. We illustrate the package through two case studies. The first re-analyzes a 25 study of the microbiome and metabolome of Inflammatory Bowel Disease patients, 26 uncovering potential mechanistic interactions between the microbiome and 27 28 disease-associated metabolites, not found in the original study. The second analyzes new data about the influence of mindfulness practice on the microbiome. The mediation 29 30 analysis identifies a direct effect between a randomized mindfulness intervention and 31 microbiome composition, highlighting shifts in taxa previously associated with depression that cannot be explained by diet or sleep behaviors alone. A gallery of 32 33 examples and further documentation can be found at https://go.wisc.edu/830110.

34 IMPORTANCE

Microbiome studies routinely gather complementary data to capture different aspects of a microbiome's response to a change, such as the introduction of a therapeutic. Mediation analysis clarifies the extent to which responses occur sequentially via mediators, thereby supporting causal, rather than purely descriptive, interpretation. multimedia is a modular R package with close ties to the wider microbiome software ecosystem that makes statistically rigorous, flexible mediation analysis easily accessible, setting the stage for precise and causally informed microbiome engineering.

42 INTRODUCTION

Treatments often cause change indirectly, triggering a chain of effects that eventually influences outcomes of interest. A standard approach to disentangling these pathways is to distinguish between indirect paths through candidate mediators and direct paths from treatment to outcome. Fig. 1A represents this graphically, with separate paths for treatment $T \rightarrow$ mediator $M \rightarrow$ outcome Y and treatment $T \rightarrow$ outcome Y. In the causal inference literature, this exercise is called mediation analysis, and various techniques have

- 49 emerged to support it [29, 8]. Several adaptations have been proposed for the microbiome
- 50 setting, where mediators, outcomes, and controls may be high-dimensional [38, 48, 5, 23].
- 51 These efforts have already uncovered clinically relevant relationships, like the existence of
- 52 microbial taxa that mediate the success of chemotherapy treatments [41].



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FIG 1 A. The graphical model underlying mediation analysis. Using combined mediation (purple) and outcome (blue) models, mediation analysis makes it possible to distinguish between direct and indirect causal pathways between treatments and outcomes. The conventional mediation analysis typically requires all nodes except for the covariates *X* to be univariate, whereas our package operates without such constraints. B. The overall multimedia workflow. Multimedia defines a modular interface to mediation analysis with utilities for summarizing and evaluating uncertainty in estimated effects.

54 Despite these successes, existing methodology places strong requirements on the 55 distribution of the mediators or outcome variables and the functional form of their relationships. For example, [38, 56, 48, 55] assume that mediators are compositional and 56 that outcomes are univariate, focusing on how microbiome relative abundance profiles 57 58 mediate treatment effects on downstream host phenotypes, like the relationship between 59 fat intake and body mass index [38]. This precludes analysis where outcomes are 60 multidimensional, like metabolic profiles, or where mediators are clinical measurements. Further, with the exception of the mediation package [44], existing implementations are 61

not modular, fixing the estimator used in both the mediation and outcome regressions.
This rigidity limits the range of settings in which mediation analysis can be applied.
Moreover, it discourages critical evaluation or interactive model building, since model
components are difficult (or impossible) to interchange. Unfortunately, even the adaptable
mediation package is limited to one-dimensional mediator and outcome variables.

67 To enable more flexible and transparent mediation analysis of microbiome data, we 68 extend the methodology of [24, 44] to high-dimensional mediator and outcome variables. This makes it possible to include sparse regression, logistic-normal multinomial, random 69 70 forest, and hierarchical bayesian mediation and outcome models within a uniform 71 package interface. Moreover, we have documented the process of inserting custom 72 models into the overall workflow. These models can all be specified using R's formula 73 notation, and components can be easily interchanged according to context. We include 74 operations for summarization, alteration, and uncertainty quantification for the resulting 75 models, encouraging interactive and critical microbiome mediation analysis. We ensure 76 strong ties to the wider microbiome software ecosystem by including methods to convert 77 to and from phyloseq [30] and SummarizedExperiment [18, 26] data structures. 78 Altogether, the multimedia package unlocks the potential for mediation analysis for 79 microbiome studies with complex experimental designs, enabling model-based 80 integration of diverse data types, including microbial community composition, 81 high-throughput molecular profiles, and host health surveys.

82 **RESULTS**

Mediation analysis with our package is a three-step process. First, users specify the hypothesized causal relationships between variables with a concise syntax that represents diverse modeling choices (**Model Setup**). Next, they estimate the model parameters and the associated causal effects (**Counterfactual Analysis**). Finally, they can compare synthetic data from alternative models and calibrate inferences using either bootstrap confidence intervals or hypothesis tests (**Evaluating Uncertainty**). This overall workflow is illustrated in Fig. 1B and detailed in the first three sections below. A summary of key

- 90 package functions is given in Table 1. The last two sections demonstrate the package
- 91 workflow with case studies on metabolomic data integration and the gut-brain axis.

Stage	Function	Description
Model Setup	mediation_data	Convert phyloseq, SummarizedExperiment,
-		or data.frame objects into S4 classes
		representing all components of a mediation
		analysis study.
	multimedia	Define the form of the mediation and
		outcome models for estimation and effect
		calculations.
Counterfactual	direct_effect	Given fitted models, estimate direct effects
Analysis		for each outcome using Equation 7.
	indirect_overall	Given fitted models, estimate aggregate
		indirect effects for each outcome using
		Equation 8.
	indirect_pathwise	Given fitted models, estimate indirect
		effects for each mediator-outcome pair using
		Equation 9.
Statistical	bootstrap	Re-estimate models and effects on bootstrap
Inference		resampled versions of the experiment.
	nullify	Define a version of an existing model with
		a subset of edges removed from either the
		mediation or outcome model.
	fdr_summary	Calibrate a false discovery rate controlling
		selection rule using synthetic null data and
		Equation 11.

TABLE 1 Core functions for problem specification, effect estimation, and uncertainty quantification available through the multimedia package.

93 Model Setup To estimate a mediation model, it is necessary to fully specify the nodes and edges in Fig. 1A. The nodes are used to divide data sources into categories according 94 to their role in the causal model. Edges correspond to mediation and outcome models. 95 96 Rather than requiring specification of all mediation analysis components at once in a 97 single function, multimedia allows users to define separate components and then glue 98 them together to define an overall analysis. The package exports a mediation data data 99 structure for storing the samples used in model fitting. We use an R's S4 system [50] to 100 define separate slots for each node in Fig. 1A. This data structure can be created by applying the accompanying mediation data function to accompanying R data frame, 101

phyloseq, and SummarizedExperiment objects. We support tidyverse-style syntax [51],
meaning that many variables can be assigned to a node using concise queries. For
example, mediation = starts_with("diet") will search the input data for any features starting
with the string "diet" and will tag them as mediators in the downstream analysis. This
efficient matching simplifies data manipulation in high-dimensional settings, where the
user may need to work with hundreds of mediators or outcomes.

108 Next, we must specify the mediation and outcome models. The package exports 109 wrappers to several regression families, ensuring that, despite their differing underlying methodology, all families can be used interchangeably for estimation, sampling, and 110 prediction in the overall mediation analysis workflow. Specifically, multimedia includes 111 112 (1) linear regression, which ensures that the package generalizes the earlier mediation package, (2) ℓ^1 and ℓ^2 -regularized linear regression [17, 43], which can be more stable and 113 interpretable in the presence of numerous predictors, (3) random forests [53], which 114 supports detection of nonlinear relationships between variables, and (4) hierarchical 115 116 Bayesian regression [3], which can be useful for sharing information across related groups.

117 Counterfactual Analysis After using the estimate function to fit models to the observed data, we can reason about potential outcomes under different treatment regimes. 118 119 This allows us to clarify the relative importance of direct and indirect pathways. For 120 example, to estimate a direct effect $(T \rightarrow Y)$, we can block effects that travel along the 121 indirect path ($T \rightarrow M \rightarrow Y$) and measuring the changes to the response that persist. Formally, in the counterfactual language of the Materials and Methods, direct and indirect 122 effects are estimated using predicted mediators $\hat{M}(t_i)$ and outcomes $\hat{Y}(t'_i, \hat{M}(t_i))$, where 123 t_i and t'_i correspond to mediator and outcome-specific treatment assignments. To this end, 124 multimedia defines a data structure for storing (t_i, t'_i) within two data frames whose rows 125 are samples and columns are treatment settings. The predict and sample methods allow 126 127 users to compute expected values and draw samples according to arbitrary treatment 128 profiles (t_i, t'_i) . Note that, in addition to the standard treatment vs. control setup, 129 multimedia supports treatment profiles with multiple concurrent treatments and multilevel or continuous treatment. 130

131 Given a fitted model, multimedia outputs estimated direct and indirect effects. We 132 formally define these effects in Equations 8 - 10. Here, we offer an overview of their motivation and interpretation. Direct effects are the changes we would observe in the 133 outcome if we changed the treatment node in Fig. 1A but held all the mediators fixed. 134 135 This is the effect that travels along the edge $T \rightarrow Y$, and it measures the extent to which the treatment can influence the outcome while bypassing the mediators. We estimate 136 different direct effects for each outcome. For example, in the mindfulness case study 137 below, direct effects can be interpreted as microbiome shifts (changes in Y) following the 138 139 mindfulness training (treatment T) that are not a consequence of changes in participant 140 sleep or diet behaviors (mediators *M*). Next, we support estimation of two types of indirect effects. Overall indirect effects measure the changes in the outcome when setting 141 142 all mediators to their predicted values when the treatment is present, keeping the 143 contribution of the direct path $T \rightarrow Y$ fixed. This aggregates the effect across the full 144 collection of indirect paths. In contrast, pathwise indirect effects measure the changes in outcome when comparing counterfactuals that are equal except at a single mediator. This 145 isolates the indirect effect along a single indirect path. In this case, an indirect effect is 146 147 reported for each outcome-mediator pair, rather than only for each outcome.

148 To increase modeling transparency, multimedia includes functions for interacting with 149 and altering fitted models. Direct and indirect effects can be visualized within context of 150 the original data. This can serve as a sanity check and guide further model refinements. Outputs are created with ggplot2 [49], which allows users to customize plot appearance. 151 152 The case studies include outputs from these helper visualization functions. Further, given 153 a fitted model, we allow users to refit new versions with sets of edges removed. Fig. 2 illustrates the main idea with a toy dataset. In the second column, the mediator takes on a 154 larger value under the red treatment, while in the third, the mediators have identical 155 156 distributions under the two treatments. Similarly, in the fourth, the relationship between 157 the mediator and outcome no longer depends on treatment status. We can also alter the 158 overall model structure, like the switch to a linear outcome model in the last column. If 159 the model quality deteriorates significantly in a altered submodel, then those edges play a 160 critical role. This heuristic is formalized in the synthetic null hypothesis testing strategy

- 161 discussed below. Finally, we have built the package with extensibility in mind. If
- 162 functions can be written for estimation and prediction from a new model type, then it can
- 163 be passed in to multimedia as a custom mediation or outcome model.



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FIG 2 Samples from altered versions of a mediation analysis model fitted to the toy data at the far left. Each row describes a different outcome variable, and colors represent different treatments. The first column gives the original data, and the remaining columns give simulated data from alternative models specified by the DAGs on the top and column titles.

165 Statistical Inference The multimedia package offers bootstrap [13, 14, 15] and synthetic null hypothesis testing [27, 40, 39] approaches for quantifying uncertainty in 166 estimates of mediation effects. To bootstrap in the mediation analysis context, we refit the 167 168 mediation and outcome models to bootstrap resampled versions of the data and compute 169 summary statistics (e.g., direct effect estimates) on each bootstrap sample. The percentiles 170 of the resulting summary statistic distribution defines the bootstrap confidence interval. 171 Importantly, the bootstrap is model agnostic and can apply to any instantiation of the 172 counterfactual mediation analysis framework. The primary assumption made by the 173 bootstrap is that its test statistics vary smoothly to small perturbations of the data. For this 174 reason, it is worthwhile to check that the histogram associated with the full bootstrap distribution is well-behaved before computing confidence intervals. Like the boot 175 176 function in base R, multimedia's bootstrap uses a functional implementation – any 177 function that transforms an experiment and fitted model into a summary statistic can be

used as input. For example, it can accept a list of direct and indirect effect estimators, andthese will be computed on bootstrap resample.

180 An alternative approach to inference in high-dimensions is based on synthetic null 181 hypothesis testing. In this approach, rather than resampling the original data, the modeler 182 simulates synthetic data from an assumed null distribution. Effect estimates are computed 183 using both the original and the synthetic null data, and the fraction of synthetic null "negative controls" among the strongest observed effects can be used to calibrate a 184 185 selection rule with false discovery rate control. The alteration functions above can be used to define synthetic nulls; e.g., after zeroing out the edges from either $T \to M$ or $M \to Y$, 186 any estimated indirect effects can be treated as negative controls. Two advantages of the 187 188 synthetic null approach are that (1) it only requires the mediation and outcome models be 189 estimated twice and (2) multiple hypothesis testing is accounted for via the false 190 discovery rate. The key disadvantage of this approach, relative to the bootstrap, is that it requires a realistic synthetic null data generating mechanism. For example, if the synthetic 191 null data are generated from a linear model, but real effects are nonlinear, then the 192 193 resulting selection sets will not provide valid false discovery rate control.

194 Microbiome-Metabolome Integration We next illustrate the multimedia workflow 195 with case studies. Our first concerns Inflammatory Bowel Disease (IBD), which is closely 196 tied to gut microbiome community composition [31]. [16] investigated the relationship 197 between the gut microbiome and metabolome between IBD patients and healthy controls, 198 concluding that microbial community members may be partly responsible for the 199 formation of metabolites that lead to inflammation and IBD. By applying clustering and 200 canonical correlation analysis to untargeted mass spectrometry data, they flagged a 201 number of disease-relevant metabolites. We re-analyze the data using model-based 202 mediation analysis, viewing IBD status – Healthy Control, Ulcerative Colitis (UC), 203 Crohn's Disease (CD) – as treatments *T*, metabolic profile as the outcome *Y*, and 204 microbiome community composition as a mediator *M*. The data are downloaded from the 205 microbiome-metabolome curated data repository [32]. We have further filtered to the top 206 173 and 155 most abundant microbes and metabolites, and we apply centered log-ratio

207 (CLR) and $\log(1 + x)$ to each source, respectively. Further details about the experimental 208 cohort and data preparation are available in the Materials and Methods.

We use parallel linear and ℓ^1 -regularized regression for mediation and outcome 209 models, respectively. Note that treatment is the only predictor in the mediation model, 210 which is why no regularization is required. We ran the bootstrap for 1000 iterations, and 211 212 95% confidence intervals and bootstrap distributions for the features with the strongest 213 direct and overall indirect effects contrasting CD with healthy controls are shown in Fig. 3. 214 Metabolites with strong indirect effects are influenced by IBD-induced changes in 215 microbiome community composition, while those with large direct effects change due to other unknown factors. Fig. 4 contextualizes a small subset of these overall effects by 216 217 overlaying metabolite abundances onto multidimensional scaling (MDS) plots derived 218 from microbiome community profiles. Though metabolites with strong direct effects have differential abundance across IBD and healthy groups, only metabolites with indirect 219 220 effects show variation that is also associated with microbiome composition.



FIG 3 95% Bootstrap confidence intervals for metabolites with the strongest estimated direct and overall indirect effects associated with CD. Effects are sorted according to magnitude, and only the top 15 of each type are shown. Within the interval, the inner rectangle captures 66% of the bootstrap samples. In this data, indirect effects are stronger than direct effects.



FIG 4 Microbiome composition and metabolite abundance for three metabolites with the strongest direct (top row) and indirect (bottom row) effects. Samples (points) are arranged according to an MDS on CLR transformed microbiome profiles with Euclidean Distance. Axis titles give $\frac{\lambda_k}{\sum_{k'} \lambda_{k'}}$ from the associated eigenvalues. Each panel corresponds to a metabolite, and point size encodes metabolite abundance, normalized to panel-specific quantiles. Metabolites with strong indirect effects vary more systematically with microbiome composition – for example, samples with low abundance of lithocholate are localized to the right of the MDS plot.

223 Moreover, by analyzing pathwise indirect effects, we can uncover genus-level 224 relationships. A subset of the strongest pathwise indirect effects are shown in Fig. 5. 225 Among the microbe-metabolite pairs with the strongest pathwise indirect effects, we find 226 a relationship between metabolites of taurine and *Bilophila* (Fig. 5). High levels of fecal 227 taurine, one of the primary conjugates of primary bile acids [52], has been previously 228 associated with IBD [25, 46]. It has also been found that *Bilophila wadsworthia*, one of the 229 most prominent taurine metabolizers, is often associated with lower levels of taurine [46]. 230 Here, our results suggest that higher levels of taurine in IBD patients is mediated in part, 231 by the abundance of *Bilophila*. We also find microbes in the genus *Firmicutes* bacterium 232 CAG:103, are paired with several metabolites: cholate, chenodeoxycholate, and 7-ketodeoycholate (Fig. 5). Cholate and chenodeoxycholate are primary bile acids 233

produced by the host, which are the metabolized by gut bacteria to form secondary bile 234 235 acids. 7α -dehydroxylation, is one of the pathways that bacteria metabolize primary bile acids, an intermediate of which is 7-ketodeoycholate [36]. Recent work has found that 236 bacteria closely related to Firmicutes bacterium CAG:103 contain the majority of predicted 237 genes associated with the 7 α -dehydroxylation pathway within metagenomic samples [45]. 238 239 Our results suggest that the increasing abundance of Firmicutes bacterium CAG:103, may 240 be driving to the decrease in these primary bile acid metabolites and intermediates, which is associated more with the non-IBD controls [42]. Host deficiency in creatine uptake has 241 242 been associated with poor mucosal health in IBD patients [10]. In our results we find that there is a strong microbe-metabolite pair between microbes in the genus Choladousia 243 (family: Lachnospiraceae) and creatine/creatinine levels. Lachnospiraceae, (which is often at 244 245 lower levels in IBD patients), are known to produce short chain fatty acids, that have been shown to help with mucosal health [33] (Fig. 5). Overall, these results suggest that 246 247 *Choladousia* may utilize creatine/creatinine as a nitrogen source, thus explaining its higher

abundance in the controls.



FIG 5 Microbe-metabolite pairs with the strongest pathwise indirect effects from IBD status. Each panel corresponds to one pair, CLR-transformed genus abundance is given on the *x*-axis, and $\log (1 + x)$ -transformed metabolite abundance is given on the *y*-axis. Effects are sorted from most negative (top left)

to most positive (bottom right). For a pathwise indirect effect to be strong, there must be both a shift in microbe abundance due to IBD state ($T \rightarrow M$) and also an association between microbe and metabolite abundance ($M \rightarrow Y$).

Note that, since this mediation model is built from a regularized linear regression outcome model, it is more sensitive to linear associations between microbe and metabolite abundances. The official package documentation includes an alternative bayesian hurdle outcome model, which exhibits higher sensitivity to outcomes with changes in metabolite presence-absence probability. The easy interchangeability of mediation analysis components makes this contrasting analysis simple to implement — it only requires change in a single line of code — and reflects multimedia's modular design.

257 Evaluating a Mindfulness Intervention Studies of the gut-brain axis have yielded 258 experimental evidence for interactions between the gut microbiome and the brain. For example, germ-free mice colonized with the microbiota from human patients with clinical 259 depression develop depression-like symptoms [28, 11], and observational studies have 260 linked particular bacterial taxa to depression [2, 35]. Given this growing body of evidence, 261 a team from the UW-Madison Center for Healthy Minds and the Wisconsin Institute for 262 263 Discovery profiled microbiome composition, surveyed psychological symptoms, and tracked behavior change among 54 subjects before and after participation in a two-month 264 265 mindfulness training [7, 20] – see the Methods and Materials for details of the study 266 design and data processing. This study aimed to determine the nature of the 267 mindfulness-microbiome relationship and to identify potential causal pathways. Such understanding could lead to novel interventions that influence mood through the 268 269 microbiome. As a first step, we use mediation analysis to understand the mechanisms 270 linking mindfulness and the microbiome in this randomized controlled trial. Our intervention *T* is the mindfulness training program, the outcome of interest is microbiome 271 272 composition *Y*, and mediators *M* are survey responses related to diet and sleep that are hypothesized to influence the microbiome. To control for subject-to-subject level variation, 273 274 participant ID is used as a pretreatment variable X.

275 For mediation and outcome models, we apply ridge and logistic-normal multinomial 276 regressions, respectively [22, 54]. We choose a ridge regression model so that intercepts across the large number of participants are shrunk towards their global mean. We choose 277 logistic-normal multinomial regression to jointly model microbiome composition. We also 278 279 define altered submodels where all direct and indirect effects have been removed. 280 Simulated genera compositions from all models are shown in Fig. 6. In the newly 281 simulated data, subjects have been randomly re-assigned to the treatment and control 282 groups. These submodels can support synthetic null hypothesis testing, since the 283 synthetic null data appear to capture relevant properties of the real microbiome 284 composition profiles, like the average relative abundances across genera and the range of 285 observed abundances within most genera. Their main limitation is that some genera, like 286 Methanobrevibacter, Paraprevotella, and Akkermansia, have much wider ranges than the 287 synthetic data, and Fig. S1 suggests that this is due to a failure to capture the unusually 288 high zero inflation present in these genera.

289 For synthetic null hypothesis testing, models without $T \rightarrow Y$ and $M \rightarrow Y$ associations 290 are used to generate negative controls for direct and overall indirect effect estimates, 291 respectively. Fig. 7 shows the estimated effects from real and synthetic data, together with 292 the estimated false discovery rates. At a level q = 0.15, five genera are selected as having 293 either significant direct or indirect effects. Fig. S2 provides the analog of Fig. 5 for this case study. Indirect effects are an order of magnitude weaker than direct effects, 294 295 suggesting that changes in microbiome composition following the mindfulness 296 intervention cannot simply be attributed to changes in diet or sleep alone.

We cannot externally validate these findings, since there is no consensus on the relationship between specific taxonomic groups and common psychiatric disorders (for a description of current sources of controversy, see [1]). However, our findings are broadly consistent with those from a recent large-scale human cohort, which found that most genera belonging to the families *Ruminococcaceae* were depleted in people with more symptoms of depression and that *Bifidobacterium* was an important predictor of depressive symptoms in a random forest classifier [2].



FIG 6 Real and synthetic null relative abundances across a subset of genera at different overall relative abundances. Color distinguishes whether the participant belonged to the treatment (mindfulness training) or control groups. The full model (left panel) captures the overall abundances and trajectories present in the real data, though it tends to underestimate the heaviness of the tails. The second and third panels show the analogous models with direct $(T \rightarrow Y)$ and indirect $(M \rightarrow Y)$ effects removed.



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FIG 7 Estimated overall effects and false discovery rates derived from real and synthetic null data. Each point corresponds to one genus in either real (blue) or simulated (orange) data. The genera selected to control the false discovery rate at $q \le 0.15$ are drawn larger than the rest. Direct effects are both larger in magnitude and easier to distinguish than their indirect counterparts.

306 **DISCUSSION**

Mediation analysis makes it possible to study causal pathways in multimodal microbiome data, and it is an essential tool for discovery of subtle relationships that span multiple host measurements and high-throughput assays. Statistical techniques in this space are needed to support interrogation of varied causal relationships, not simply studies where microbiome profiles serve as mediators and outcomes are one-dimensional, as has been the historical focus of the field.

Our case studies illustrate the flexibility and analytical depth supported by multimedia. Unlike traditional microbiome mediation analysis software, the package allows specification of diverse regression components, and the interface simplifies interpretation of effect types and model criticism. In this way, multimedia encourages interactive, rigorous mediation analysis for microbiome data. It is written to interface closely with the existing microbiome software ecosystem, and since analysis are carried out in reproducible code notebooks, it supports scientific transparency.

- 320 We have created a gallery of example notebooks that use the multimedia package.
- 321 These include alternative analyses of the IBD and mindfulness data explored here. We
- 322 invite users to contribute further examples, and we plan to structure further
- 323 developments according to community needs.

324 MATERIALS AND METHODS

325 **Counterfactual framework** Consider random samples indexed by *i*. Let $T_i \in \mathbb{R}$ be the 326 experimental treatment of interest, $Y_i \in \mathbb{R}$ be the outcome, and $X_i \in \mathbb{R}^P$ be the 327 pretreatment covariates. For simplicity, we assume T_i is a binary indicator of either 328 treatment ($T_i = 1$) or control ($T_i = 0$), though multimedia supports categorical, 329 continuous, and multi-treatment cases.

330 To what extent is the effect of the treatment on the outcome modulated by 331 intermediate variables? Mediation analysis answers this question by positing mediators M_i on the causal path from T_i to Y_i . Adopting a counterfactual perspective, we define 332 $M_i(t')$ as the potential outcome of the mediator under $T_i = t'$ and $Y_i(t, m)$ as the potential 333 outcome of the response under $T_i = t$ and $M_i = m$ with $t, t' \in \{0, 1\}$. Therefore, we can 334 335 express the outcome variable as $Y_i(t, M_i(t'))$. In a randomized experiment, we can only 336 ever observe the case where t and t' are the same, i.e., $Y_i(1, M_i(1))$ in the treated group 337 and $Y_i(0, M_i(0))$ in the control group – but conceptually t and t' can be different. For 338 example, $Y_i(0, M_i(1))$ represents the potential outcome when only mediators are intervened upon and $Y_i(1, M_i(0))$ represents the potential outcome when we make 339 340 interventions while keeping mediators at their values under the control.

Analogous to the traditional average treatment effect, [24] defines the indirect effect to be the treatment effect obtained through mediators,

$$\delta(t) = \mathbb{E}\{Y_i(t, M_i(1)) - Y_i(t, M_i(0))\}$$
(1)

and the direct effect to be the effect of treatment through other mechanisms,

$$\zeta(t') = \mathbb{E}\{Y_i(1, M_i(t')) - Y_i(0, M_i(t'))\}$$
(2)

for $t, t' \in \{0, 1\}$. It has been shown that both effects are identifiable under assumptions (3) to (6):

$$\{Y_i(t',m), M_i(t)\} \perp T_i \mid X_i = x$$
(3)

$$Y_i(t',m) \perp M_i(t) \mid T_i = t, X_i = x$$
(4)

$$\mathbb{P}\left(T_i = t \mid X_i = x\right) > 0 \tag{5}$$

$$p_{M_i(t)}(m \mid T_i = t, X_i = x) > 0$$
(6)

341 for $t, t' \in \{0, 1\}$ and all $m \in \mathcal{M}$ and $x \in \mathcal{X}$, where \mathcal{M} and \mathcal{X} represent the supports of M_i 342 and X_i , respectively.

In addition, under a no interaction assumption, $\delta(t) = \delta(t')$ and $\zeta(t) = \zeta(t')$ for any $t \neq t'$. We define the overall indirect effect and direct effect as follows,

$$\bar{\delta} = \frac{1}{2} \sum_{t=0}^{1} \mathbb{E} \{ Y_i(t, M_i(1)) - Y_i(t, M_i(0)) \}$$
(7)

$$\bar{\zeta} = \frac{1}{2} \sum_{t'=0}^{1} \mathbb{E} \{ Y_i(1, M_i(t')) - Y_i(0, M_i(t')) \}$$
(8)

Large magnitudes of $\overline{\delta}$ and $\overline{\zeta}$ suggest strong effects of the treatment on the outcome via mediators and mechanisms other than mediators, respectively. To define pathwise indirect effects, we apply different treatment assignments across coordinates of the mediators $M_i = (M_{i1}, \dots, M_{iK})$,

$$\bar{\omega}_{k} = \frac{1}{2} \sum_{t'=0}^{1} \mathbb{E} \{ Y_{i} \left(t', \left(M_{i1} \left(t' \right), \dots, M_{ik} \left(1 \right), \dots, M_{iK} \left(t' \right) \right) \right) -$$

$$Y_{i} \left(t', \left(M_{i1} \left(t' \right), \dots, M_{ik} \left(0 \right), \dots, M_{iK} \left(t' \right) \right) \right) \}.$$
(9)

In practice, the population quantities $\bar{\delta}$, $\bar{\zeta}$, and $\bar{\omega}$ are unknown, and the expectations are replaced with fitted values from the mediation and outcome models \hat{M} and \hat{Y} , respectively. For example, the direct effect is estimated using

$$\hat{\bar{\zeta}} = \frac{1}{2} \sum_{t'=0}^{1} \sum_{i=1}^{n} \hat{Y}_i(1, \hat{M}_i(t')) - \hat{Y}_i(0, \hat{M}_i(t')).$$
(10)

343 Bootstrap and synthetic null testing Form a bootstrap resample of the data $\mathcal{D}^* = (\mathbf{X}^*, \mathbf{M}^*, \mathbf{T}^*, \mathbf{Y}^*)$ by independently resampling the *n* observations with replacement. 344 A summary statistic computed on the b^{th} resampled dataset is denoted by $\hat{\theta}^{*b}(\mathcal{D}^*)$. For 345 brevity, we will omit the data arguments. For example, $\hat{\theta}^{*b}$ could correspond to an 346 estimator of $\bar{\delta}$ or $\bar{\zeta}$ derived from mediation and outcome models learned from \mathcal{D}^* . 347 Repeating this process *B* times, we refit $\hat{M}(t, x)$, $\hat{Y}(t, m, x)$ and the provided summary 348 statistic $\hat{\theta}$ for each of the *B* bootstrap the datasets. We can obtain the bootstrap distribution 349 $(\hat{\theta}^{*b})_{h=1}^{B}$. Let $q_{\frac{\alpha}{2}}$ and $q_{1-\frac{\alpha}{2}}$ represent the $\frac{\alpha}{2}$ and $1-\frac{\alpha}{2}$ quantiles of this collection. Then 350 $\left[q_{\frac{\alpha}{2}}, q_{1-\frac{\alpha}{2}}\right]$ forms an α -level bootstrap confidence interval associated with the statistic $\hat{\theta}$. 351

For synthetic null hypothesis testing, estimate mediation and outcome models $\hat{M}_{sub}(t, x)$, $\hat{Y}_{sub}(t, m, x)$ using only a subset of edges within the DAG. This defines the null data generating mechanism. Using the same pretreatment and treatment profiles X_i , T_i from the original experiment, simulate synthetic null data \mathbf{M}^{*0} , \mathbf{Y}^{*0} from the submodel. For D taxa of interest, compute summary statistics $(\hat{\theta}_d^1)_{d=1}^D$ and $(\hat{\theta}_d^0)_{d=1}^D$ based on the original and the synthetic null data, respectively. For example, $\hat{\theta}_d^1$ could estimate taxon d's direct effect $\hat{\delta}_d$ using the original data, and $\hat{\theta}_d^0$ could be the corresponding estimate derived from synthetic null data. Next, for any threshold t, we estimate the false discovery rate using

$$\widehat{\text{FDR}}(t) := \frac{\# \{ d : |\hat{\theta}_d^0| > t \}}{\# \{ d : |\hat{\theta}_d^0| > t \} + \# \{ d : |\hat{\theta}_d^1| > t \}}.$$
(11)

The numerator counts the number of estimates from the synthetic null data that are larger than *t*, and the denominator counts the number of discoveries across either simulated or real data at that threshold. Given a desired FDR level *q*, the selection rule is defined by selecting $t^* = \min \left\{ t : \widehat{\text{FDR}}(t) \le q \right\}$ and selecting all features *d* such that $|\hat{\theta}_d^1| > t^*$. Under the null samples generated by $\hat{M}_{\text{sub}}(t, x)$, $\hat{Y}_{\text{sub}}(t, m, x)$, this rule controls the false discovery rate below level *q*, regardless of the choice of estimator $\hat{\theta}_d$, though better estimators lead to improved power.

359 **Microbiome-metabolome data processing** We obtained the data from the 360 microbiome-metagenome curated database. Details of the library preparation and bioinformatics can be found in [34]. Briefly, metagenomic sequencing was done on an
Illumina HiSeq 2500, and metabolites were profiled using LC-MS in non-targeted mode.
For metagenomics, fastp was applied to raw reads for quality filtering, adapter trimming,
and deduplication. bowtie2 was used to remove human reads by aligning to the hg38.
kraken2.1.1 and braken 2.8 were used to estimate taxonomic relative abundances.

A total of 11,720 taxa and 8,848 metabolites are present in the public data. We applied a centered log-ratio transformation to the microbiome relative abundances profiles: CLR $(x_1, ..., x_D) := \left(\log (x_d) - \frac{1}{D} \sum_{d'} \log x_{d'} \right)_{d=1}^{D}$. We then filtered to taxa whose average transformed abundance was larger than 3, which reduced the number of taxa to 173. We kept only metabolites with confident HMDB assignments, applied a log (1 + x)transformation, and further filtered to those whose average transformed intensity was larger than 6. This resulted in 155 well-annotated and generally abundant metabolites.

373 Mindfulness study design and processing The initial Center for Healthy Minds study recruited 114 police officers participants across two cohorts. Microbiome samples were 374 obtained only from participants in the second cohort (n = 54), who were randomly 375 376 assigned to mindfulness training or waitlist control with 27 cases each. We removed four 377 participants due to incomplete responses - three lacked microbiome data, and one had 378 missing mediators. Our analysis considers a mindfulness training treatment group of size 379 n = 24 and a waitlist control group of size n = 26. Participants in the mindfulness group 380 took part in an 8-week, 18-hour mindfulness training developed specifically for their 381 career and inspired by Mindfulness-Based Stress Reduction and Mindfulness-Based 382 Resilience Training [7]. Weekly two-hour classes (and a four-hour class in week 7) 383 consisted of didactic instruction, embodied mindfulness practices, and individual and 384 group-based inquiry (for full intervention details, see [21]). Microbiota and behavioral 385 survey data were gathered at 2 - 3 timepoints for each participant — samples in the 386 treatment group provided data before, within two weeks following, and, in a subset of 387 cases, four months after the 8-week intervention, resulting in 118 samples total.

388 Gut microbiome composition was assessed using 16S rRNA gene sequencing, and 389 participants completed surveys, as reported previously [21]. One to four technical

390 replicates (on average, 2.6) were sequenced for each 16S rRNA gene sample, resulting in 391 307 microbiome composition profiles in total. Amplicon Sequence Variants (ASV) were called using the DADA2 pipeline [4]. The first ten base pairs were removed, and all reads 392 393 were truncated to a length of 250. Otherwise, we set all pipeline hyperparameters to their defaults. Since the total number of participants is relatively small, we chose to concentrate 394 395 on the core microbiome [37]. To this end, we assigned taxonomic identity to each ASV 396 using the RDP database and aggregated all counts to the genus level [9]. We removed any genera that did not appear in at least 40% of the samples, thereby generating a core 397 398 microbiome. On average, this preserved 98.7% of the reads within each sample. After 399 filtering to the core microbiome, sequences for 55 genera remained. To define mediators, 400 we manually selected four variables from the National Cancer Institute Quick Food Scan 401 and self-reported questionnaires on fatigue and sleep disturbance scores based on the Patient-Reported Outcomes Measurement Information System subscale [6]. We 402 403 concentrated on these questions because changes in both diet and sleep have previously been associated with mindfulness interventions and the microbiome [19, 12, 47]. 404

In detail, we consider four mediators – two diet mediators from the National Cancer Institute Quick Food Scan and two stress variables from the Patient-Reported Outcomes Measurement Information System (43-item inventory; version 2.0) following [6]. They are all calculated from questionnaires. The two diet variables indicate the frequency that participants eat cold cereal and fruit (not juices), respectively, in the past 12 months (Supplementary Table 1). The two stress variables, fatigue and sleep disturbance, profile the stress of a participant in the past 7 days (Supplementary Table 2).

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413 DATA AVAILABILITY STATEMENT

The multimedia package is available at https://go.wisc.edu/830110. Notebooks to reproduce the case studies are available at https://go.wisc.edu/787g25.

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419 CONFLICTS OF INTEREST

420 State all conflicts of interest here or say, "The authors declare no conflict of interest."

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